

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2023

**STOCK CORPORATION**

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> UNICON INSURANCE AND REINSURANCE BROKERS CORPORATION		<b>DATE REGISTERED:</b> 08/13/1980	
<b>BUSINESS/TRADE NAME:</b> N/A		<b>FISCAL YEAR END:</b> December 31	
<b>SEC REGISTRATION NUMBER:</b> 94482		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 000-284-602-000	
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> First Tuesday of August		<b>WEBSITE/URL ADDRESS:</b> <a href="http://www.uniconbrokers.com.ph">www.uniconbrokers.com.ph</a>	
<b>ACTUAL DATE OF ANNUAL MEETING:</b> August 2, 2023		<b>E-MAIL ADDRESS:</b> <a href="mailto:executiveoffice@uniconbrokers.com.ph">executiveoffice@uniconbrokers.com.ph</a>	
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> L25 Robinsons Equitable Tower, ADB Avenue corner, P. Poveda Road, Ortigas Center, Pasig City		<b>FAX NUMBER:</b> 8395-2585	
<b>COMPLETE BUSINESS ADDRESS:</b> L25 Robinsons Equitable Tower, ADB Avenue corner, P. Poveda Road, Ortigas Center, Pasig City	<b>OFFICIAL E-MAIL ADDRESS</b> <a href="mailto:corporatesecretary@jgsummit.ph">corporatesecretary@jgsummit.ph</a>	<b>ALTERNATE E-MAIL ADDRESS</b> <a href="mailto:andreria.buzeta-acero@jgsummit.com.ph">andreria.buzeta-acero@jgsummit.com.ph</a>	<b>OFFICIAL MOBILE NUMBER</b> +639988407489
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> SyCip Gorres Velayo & Co. / Miguel U. Ballelos, Jr.	<b>ALTERNATE MOBILE NUMBER</b> +639189451471	<b>SEC ACCREDITATION NUMBER (if applicable):</b> 109950- SEC (Group A)	<b>TELEPHONE NUMBER(S):</b>
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> To act as insurance and/or reinsurance broker in such lines as fire, marine, accident, engineering, life, health, aviation, liability, casualty, plate glass, steam boiler, elevator, burglary, rent, credit, indemnity, earthquake, typhoon, automobile, fidelity and all kinds and classes of insurance and surety bonds.	<b>INDUSTRY CLASSIFICATION:</b> N/A	<b>GEOGRAPHICAL CODE:</b> N/A	

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
JG Summit Holdings, Inc.	184044	43/F Robinsons Equitable Tower, ADB Avenue corner Poveda St., Ortigas Center, Pasig City
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS

NOTE: USE ADDITIONAL SHEET IF NECESSARY



**GENERAL INFORMATION SHEET**

**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

**Corporate Name:** UNICON INSURANCE BROKERS CORPORATION

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**     **Yes**     **No**

**Please check the appropriate box:**

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input checked="" type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p><b>8.</b> <input type="checkbox"/> None of the above</p>
<p><b>8.</b> Describe nature of business:</p>	<p> </p>

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**     **Yes**     **No**



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** UNICON INSURANCE AND REINSURANCE BROKERS CORPORATION

**CAPITAL STRUCTURE**

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	500,000	100.00	50,000,000.00
	-----	-----	-----	-----
	-----	-----	-----	-----
<b>TOTAL</b>		<b>500,000</b>	<b>TOTAL P</b>	<b>50,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	13	Common	500,000	N/A	100.00	50,000,000.00	100%
	-----	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----	-----
<b>TOTAL</b>			<b>500,000</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>50,000,000.00</b>	<b>100%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	-----	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----	-----

<b>Percentage of Foreign Equity :</b>	<b>TOTAL</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>TOTAL SUBSCRIBED P</b>	<b>50,000,000.00</b>	<b>100%</b>
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**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	13	Common	500,000	100.00	50,000,000.00	100%
	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----
<b>TOTAL</b>			<b>500,000</b>	<b>TOTAL P</b>	<b>50,000,000.00</b>	<b>100%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
N/A	N/A	N/A	N/A	N/A	N/A	N/A
	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----
<b>0.00 % TOTAL</b>				<b>TOTAL P</b>		
				<b>TOTAL PAID-UP P</b>	<b>50,000,000.00</b>	<b>100%</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification  
 \*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.



# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

**CORPORATE NAME:** UNICON INSURANCE AND REINSURANCE BROKERS CORPORATION

## DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. LANCE Y. GOKONGWEI 517 Sen. Gil Puyat, North Forbes Makati City	Filipino	Y	M	M	Y	N/A	M	116-312-586
2. JAMES L. GO 43rd Floor, Robinsons Equitable Tower, ADB Avenue corner Poveda Street, Ortigas Center, Pasig City	Filipino	Y	M	M	Y	N/A	M	124-294-200
3. ROBINA Y. GOKONGWEI-PE 110 E. Rodriguez Jr. Avenue Bagumbayan, Quezon City	Filipino	N	M	F	Y	N/A	M	139-634-860
4. FREDERICK D. GO 575 Holy Cross St. East Greenhills Mandaluyong City	Filipino	N	M	M	Y	N/A	M	112-934-209
5. ANGELES Z. LORAYES 112 Guava Drive Ayala Alabang Village, Muntinlupa City	Filipino	N	I	F	Y	N/A	A/C	123-371-538
6. ELFREN ANTONIO S. SARTE 117 E. Rodriguez Avenue (C-5) Brgy. Ugong, Pasig City	Filipino	N	C	M	Y	N/A	M	107-790-157
7. ANDRO M. YEE 4 Glenn Street, Filinvest Homes II, Batasan Hills, Quezon City	Filipino	N	M	M	Y	N/A	A/M	117-686-278
8. ESPERANZA S. OSMEÑA 20 Ormoc St. Alabang Hills Village Muntinlupa City	Filipino	N	I	F	Y	N/A	A/M	123-371-626
9. TRIZA MINNEL L. ORETA 16A Galathian St. Camella Classic, Pilar Las Pinas	Filipino	N	M	F	Y	President and General Manager	C/M	206-626-078
10. CARMEN T. AMACIO 38 Regatta Drive, Regatta Classics Subd., Anabu 2B, Imus, Cavite	Filipino	N		F	N	CFO, Treasurer, Controller	N/A	108-132-189
11. JOSE SIMEON A. CLARIDADES 20 Old, 16 New Prelaya St. Tugatog Malabon City	Filipino	N		F	N	COO, DPO, Compliance Officer	N/A	117-696-297
12. MARIA FE LOURDES P. MONTEMAYOR 18 Zenith St., Concepcion Dos, Marikina City	Filipino	N		F	N	Assistant DPO	N/A	100-891-838
13. MA. DESIREE R. LOPEZ 2-B Gen. Lim St., Brgy. Sta. Cruz, Quezon City	Filipino	N		F	N	Assistant DPO	N/A	343-335-633
14. EDGARDO LINSANGAN 22F Greenbelt Radissons, 106 Aguirre St., Legazpi Village, Brgy. San Lorenzo, Makati City	Filipino	N		M	N	Internal Auditor, AMLA Officer	N/A	403-069-430
15. ATTY. ANDRE RIA B. BUZETA-ACERO 40th Floor, Robinsons Equitable Tower, ADB Ave. corner Poveda, Road, Ortigas Center, Pasig City	Filipino	N		M	N	Chief Legal Counsel & Corporate Secretary	N/A	177-087-684

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

===== **PLEASE PRINT LEGIBLY** =====

**CORPORATE NAME:** UNICON INSURANCE AND REINSURANCE BROKERS CORPORATION

**TOTAL NUMBER OF STOCKHOLDERS:** 13      **NO. OF STOCKHOLDERS WITH 100 OR MORE SHAI** 2

**TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:** Php205,884,472 (as of December 31, 2021)

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1 JG Summit Holdings, Inc. Filipino 43rd Floor, Robinsons Equitable Tower, ADB Avenue corner Poveda Street, Ortigas Center, Pasig City	Common			82.84%	41,419,300.00	350-000-775-860
	<b>TOTAL</b>	414,193	41,419,300.00			
2. ROBINSONS BANK CORPORATION Filipino 17th Floor Galleria Corporate Center EDSA corner Ortigas Avenue, Quezon City	Common			17.13%	8,566,700.00	000-437-913
	<b>TOTAL</b>	85,667	8,566,700.00			
3. Lance Y. Gokongwei Filipino 517 Sen. Gil Puyat, North Forbes Makati City	Common			0%	2,300.00	116-312-586
	<b>TOTAL</b>	23	2,300.00			
4. James L. Go Filipino 43rd Floor, Robinsons Equitable Tower, ADB Avenue corner Poveda Street, Ortigas Center, Pasig City	Common			0%	2,300.00	124-294-200
	<b>TOTAL</b>	23	2,300.00			
5. Johnson Robert G. Go, Jr. Filipino 43rd Floor, Robinsons Equitable Tower, ADB Avenue corner Poveda Street, Ortigas Center, Pasig City	Common			0%	2,000.00	112-197-201
	<b>TOTAL</b>	20	2,000.00			
6. Robina Y. Gokongwei-Pe Filipino 110 E. Rodriguez Jr. Avenue Bagumbayan, Quezon City	Common			0%	2,300.00	139-634-860
	<b>TOTAL</b>	23	2,300.00			
7. Patrick Henry C. Go Filipino 4 Rosemary Lane, Kapitolyo Pasig City	Common			0%	2,300.00	139-143-209
	<b>TOTAL</b>	23	2,300.00			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>					<b>50,000,000.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

**Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.**



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

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<b>CORPORATE NAME:</b>		UNICON INSURANCE AND REINSURANCE BROKERS CORPORATION					
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		13		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		2	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		Php205,884,472 (as of December 31, 2021)					
STOCKHOLDER'S INFORMATION							
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER	
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP			
8. Frederick D. Go Filipino 575 Holy Cross St. East Greenhills Mandaluyong City					0%	2,300.00	112-934-209
	<b>TOTAL</b>	23	2,300.00				
9. ANGELES Z. LORAYES Filipino 112 Guava Drive Ayala Alabang Village, Muntinlupa City					0%	100.00	123-371-538
	<b>TOTAL</b>	1	100.00				
10. ESPERANZA S. OSMEÑA Filipino 20 Ormoc St. Alabang Hills Village Muntinlupa City					0%	100.00	123-371-626
	<b>TOTAL</b>	1	100.00				
11. Elfren Antonio S. Sarte Filipino 117 E. Rodriguez Avenue (C-5) Brgy. Ugong, Pasig City					0%	100.00	107-790-157
	<b>TOTAL</b>	1	100.00				
12. Andro M. Yee Filipino 4 Glenn Street, Filinvest Homes II, Batasan Hills, Quezon City					0%	100.00	117-686-278
	<b>TOTAL</b>	1	100.00				
13. Triza Minnel L. Oreta Filipino 16A Galathian St. Camella Classic, Pilar Las Piñas					0%	100.00	206-626-078
	<b>TOTAL</b>	1	100.00				
14.							
	<b>TOTAL</b>						
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>500,000</b>	<b>100.00%</b>	<b>50,000,000.00</b>		
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>50,000,000.00</b>		
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS							
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.							



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<b>TOTAL NUMBER OF STOCKHOLDERS:</b>			<small>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</small>			
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
15.						
	<b>TOTAL</b>					
16.						
	<b>TOTAL</b>					
17.						
	<b>TOTAL</b>					
18.						
	<b>TOTAL</b>					
19.						
	<b>TOTAL</b>					
20.						
	<b>TOTAL</b>					
21. OTHERS (Indicate the number of the remaining stockholders)						
	<b>TOTAL</b>					
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				0.00%	<b>0.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<small>Note: For PDTC Nominee Included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</small>						



**GENERAL INFORMATION SHEET**  
**STOCK CORPORATION**

PLEASE PRINT LEGIBLY			
<b>CORPORATE NAME:</b> UNICON INSURANCE AND REINSURANCE BROKERS CORPORATION			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	N/A		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A		
1.3 LOANS/ CREDITS/ ADVANCES	N/A		
1.4 GOVERNMENT TREASURY BILLS	N/A		
1.5 OTHERS	N/A		
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
	N/A	N/A	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
	N/A	N/A	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR:</b> 2022			
Php173,285,600 as of December 31,			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH	94,500,000.00	02/02/2022	
	118,583,000.00	07/03/2023	
5.2 STOCK	N/A		
5.3 PROPERTY	N/A		
<b>TOTAL</b>			
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
04/04/2023	285,830	28,583,000.00	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	SEC	B S P	I C
<b>TYPE OF LICENSE/REGN.</b>	N/A	N/A	Insurance Brokers License
<b>DATE ISSUED:</b>	N/A	N/A	January 1, 2022
<b>DATE STARTED OPERATIONS:</b>	N/A	N/A	January 1, 2022
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
N/A	12	50	62

NOTE: USE ADDITIONAL SHEET IF NECESSARY



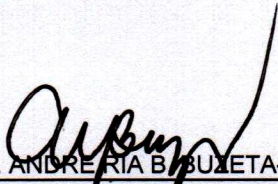
I, ATTY. ANDRE RIA B. BUZETA-ACERO, Corporate Secretary of UNICON INSURANCE AND REINSURANCE BROKERS CORPORATION declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

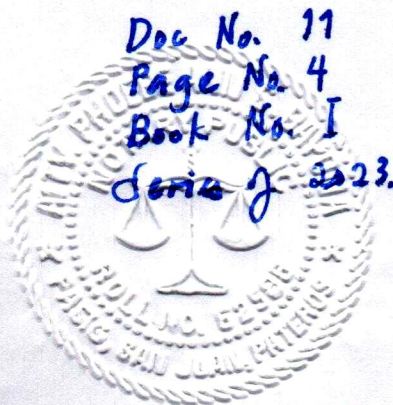
I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

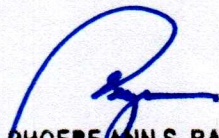
I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ in \_\_\_\_\_.

  
ATTY. ANDRE RIA B. BUZETA-ACERO  
(Signature over printed name)

**SUBSCRIBED AND SWORN TO** before me in PASIG CITY on AUG 24 2023 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of SS ID 33-7686601-4.



  
**PHOEBE ANN S. BAYONA**  
Notary Public for Pasig, San Juan, and Pateros  
Notarial Commission No. 333  
Until December 31, 2024 pursuant to Bar Matter No. 3795  
40th Floor Robinsons Equitable Tower, ADB Ave.  
cor. Poveda Road, Ortigas Center, Pasig City 1605  
Roll of Attorneys No. 62586  
IBP No. 269757 / 01-04-2023 (for 2023)  
PTR No. 0458051 / 03-22-2023 / Pasig City



**BENEFICIAL OWNERSHIP DECLARATION  
FOR THE YEAR: 2023**

SEC REGISTRATION NUMBER: 94482  
CORPORATE NAME: UNICON INSURANCE BROKERS CORPORATION

**Instructions:**

- Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
- Fill in the required information on the beneficial owner in the fields provided for.
- In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
- If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
- Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

- | <b>Category</b> | <b>Description</b>  |
|-----------------|---|
| <b>A</b>        | Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.   |
| <b>B</b>        | Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.   |
| <b>C</b>        | Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.   |
| <b>D</b>        | Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.  |
| <b>E</b>        | Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.   |
| <b>F</b>        | Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).   |
| <b>G</b>        | Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.  |
| <b>H</b>        | Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.   |
| <b>I</b>        | Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion. |

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Gokongwei, Lance Y. Chairman and President	517 Sen. Gil Puyat, North Forbes Makati City	Filipino	11/23/1966	116-312-586	0%	D	I
Go, James L. Director	43rd Floor Robinsons Equitable Tower, ADB Avenue cor. Poveda Road, Ortigas Center, Pasig City	Filipino	04/26/1939	124-294-200	0%	D	I
Gokongwei-Pe, Robina Y. Director	110 E. Rodriguez Jr. Avenue Bagumbayan, Quezon City	Filipino	7/15/1961	139-634-860	0%	D	I
Go, Frederick D. Director	575 Holy Cross St. East Greenhills Mandaluyong City	Filipino	06/13/1969	112-934-209	0%	D	I
Lorayes, Angeles Z. Director	112 Guava Drive Ayala Alabang Village, Muntinlupa City	Filipino	02/08/1949	123-371-538	0%	D	I
Osmeña, Esperanza S. Director	20 Ormoc St. Alabang Hills Village, Muntinlupa City Muntinlupa City	Filipino	06/07/1950	123-371-626	0%	D	I
Sarte, Elfren Antonio S. Director	117 E. Rodriguez Avenue (C-5) Brgy. Ugong, Pasig City	Filipino	12/15/1959	107-790-157	0%	D	I
Yee, Andro M. Director	4 Glenn Street, Filinvest Homes II, Batasan Hills, Quezon City	Filipino	11/30/1964	117-686-278	0%	D	I
Oreta, Triza Minnel L. Director	16A Galathian St. Camella Classic, Pilar Las Piñas	Filipino	01/10/1978	206-626-078	0%	D	I

Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.